

# Auxier Focus Fund

Please complete this form to establish an IRA.

You must complete a separate application for each IRA you wish to establish. ACCOUNT NUMBER \_\_\_\_\_ (if previously established)

## IMPORTANT INFORMATION FOR OPENING YOUR ACCOUNT

To help the government fight the funding of terrorism and money laundering activities, Federal law requires financial institutions to obtain, verify, and record information that identifies each person who opens an account.

What this means for you: When you open an account, we will ask for your name, address, date of birth, social security number and other information or documents that will allow us to identify you. This information will be subject to verification.

By signing and submitting this application, you give the Auxier Focus Fund (the "Fund") and its agents permission to collect information about you from third parties, including information available in public and private databases such as consumer reports from credit reporting agencies, which will be used to help verify your identity.

If you do not provide the information, we may not be able to open your account. If we open your account but are unable to verify your identity, we reserve the right to take such other steps as we deem reasonable, including closing your account and redeeming your investment at the net asset value next calculated after the Fund decides to close your account. Please see the Fund's Statement of Additional Information for further information.

## I. IRA REGISTRATION (Please print)

Name	Birth Date	Social Security Number
Address: Number and Street (Required)		Mailing Address (If different)
City	State	Zip Code
E-mail		Telephone (Day)
		Telephone (Evening)

- Auxier Focus Fund
  - Investor Shares (\$3,000 Minimum) \$ \_\_\_\_\_
  - A Shares (\$2,000 Minimum) \$ \_\_\_\_\_

- Check enclosed for \$ \_\_\_\_\_
- I have telephoned the Transfer Agent to make wire arrangements (See instructions in Section 12).  
My initial investment wire is \$ \_\_\_\_\_
- By ACH for \$ \_\_\_\_\_  
(Please enter your Bank Account Information in Section 6).

*All investments must be made by check, ACH or wire. All checks must be payable in U.S. dollars and drawn on U.S. financial institutions. The Fund does not accept purchases made by credit card check, starter check, cash or cash equivalents (for instance, you may not pay by money order, cashier's check, bank draft or traveler's check).*

## 2. IRA TYPE AND DESCRIPTION

Please indicate below the type of IRA you would like to establish. An application cannot be processed if it attempts to establish more than one IRA. **Please read the Funds' Universal IRA Disclosure Statement for information to help determine the appropriate type of IRA for your account or consult a qualified tax professional.**

### Type of IRA

(Check one box only)

- Regular IRA     
  Qualified Plan Rollover     
  SEP-IRA     
  Roth IRA

**3. SOURCE OF CONTRIBUTIONS (Check one box that applies)**

**Annual Contribution**

You are making a contribution for the current or prior tax year. **Please complete Section 5.**

**Transfer**

You are transferring assets directly from your Traditional or Roth IRA at another institution. **You must also complete a Retirement Asset Transfer/Rollover Form.** If you are transferring an IRA to an existing IRA account in the Auxier Focus Fund, you need not complete a new IRA Account Application.

**Rollover**

You are contributing assets distributed to you from a qualified retirement plan or from another IRA or you are contributing assets directly from a qualified retirement plan. **Please complete Section 5.**

**4. CUSTODIAL FEE**

I have enclosed \$15.00 for the Annual Custodial Fee.

Please deduct the Annual Custodial Fee from my account.

**5. INVESTMENT SELECTION (Do not use for IRA transfers)**

If the tax year is not specified, the investment will be made for the year in which this application is received.

Tax Year \_\_\_\_\_ Contribution: \$ \_\_\_\_\_

Annual Custodial Fee: \$15.00

Tax Year \_\_\_\_\_ Contribution: \$ \_\_\_\_\_

Total of Your Check

Rollover or Qualified Plan Rollover: \$ \_\_\_\_\_

(Payable to the Auxier Focus Fund) or Wire: \$ \_\_\_\_\_

**6. BANK ACCOUNT INFORMATION**

*(Complete only if you have elected certain shareholder privileges in Section 6 or purchasing your initial investment by ACH in Section 1 or would like the ability to use this bank account for future transactions).*

Name of Bank \_\_\_\_\_ ABA Routing Number \_\_\_\_\_

Registration on Account \_\_\_\_\_

Checking  Savings

Account Number \_\_\_\_\_ Account Type \_\_\_\_\_  
(If Checking Account, please attach a voided check).

Bank Address: Number and Street \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ Zip Code \_\_\_\_\_

**7. DEALER INFORMATION**

*(For Broker/Dealer use only)*

Dealer Firm Name \_\_\_\_\_

Representative's Name \_\_\_\_\_ Representative's Broker Number \_\_\_\_\_

Branch Address: Number and Street \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ Zip Code \_\_\_\_\_

Dealer's Authorized Signature \_\_\_\_\_

**8. DUPLICATE STATEMENT ADDRESS (Optional)**

Name \_\_\_\_\_

Address: Number and Street \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ Zip Code \_\_\_\_\_

**9. BENEFICIARY DESIGNATION (Attach additional sheets if necessary)**

**Primary Beneficiary(ies)** (Percentages must total 100%)

Name \_\_\_\_\_ Address \_\_\_\_\_

Percentage \_\_\_\_\_ Birth Date \_\_\_\_\_ Social Security Number \_\_\_\_\_ Relationship \_\_\_\_\_

Name \_\_\_\_\_ Address \_\_\_\_\_

Percentage \_\_\_\_\_ Birth Date \_\_\_\_\_ Social Security Number \_\_\_\_\_ Relationship \_\_\_\_\_

**Secondary Beneficiary(ies)** (Percentages must total 100%)

Name \_\_\_\_\_ Address \_\_\_\_\_

Percentage \_\_\_\_\_ Birth Date \_\_\_\_\_ Social Security Number \_\_\_\_\_ Relationship \_\_\_\_\_

Name \_\_\_\_\_ Address \_\_\_\_\_

Percentage \_\_\_\_\_ Birth Date \_\_\_\_\_ Social Security Number \_\_\_\_\_ Relationship \_\_\_\_\_

Shareholders are advised to check the requirements of state law concerning spouse's beneficiary rights.

## 10. SHAREHOLDER PRIVILEGES (Subject to terms set forth in the prospectus)

### Telephone Authorization

Unless the following is checked, I authorize and direct the Transfer Agent to accept and act upon telephone instructions for exchanges involving the account with corresponding registration. I also agree that neither the Fund nor the Transfer Agent will be liable for any loss, cost or expense for acting on any telephone instructions if they follow reasonable procedures to verify that the telephone requests are genuine.

I do not authorize telephone exchanges.

### Systematic Investment Plan (\$2,000 Minimum initial investment) Please complete Section 6.

#### Investment Frequency (Please select one and complete):

A Single Monthly Investment Amount of \$ \_\_\_\_\_ (\$50 Minimum) to be invested on the \_\_\_\_\_ day of the month.\*

OR

A Twice Monthly Investment Amount of \$ \_\_\_\_\_ (\$50 Minimum) to be invested on the \_\_\_\_\_ and \_\_\_\_\_ days of the month.\*

(\*If a day selected falls on a weekend or holiday, your investment will occur on the next business day).

Systematic Investments will be credited to the year in which the investment is made. You may change this amount at any time by contacting the Transfer Agent at the phone number and address provided in Section 10 of this application.

**Please note that this privilege will be effective 7 business days after the Fund receives this application.** This service is governed by the terms set forth in the prospectus, which may be amended from time to time, and the rules of the Automated Clearing House ("ACH"). The Systematic Investment Plan has been established solely for the investor's convenience and may be terminated or modified by the fund at any time without notice. To stop the Systematic Investment Plan, please contact the Transfer Agent at the number listed on the bottom of the application.

### Systematic Withdrawal Plan

For shareholders electing Systematic Withdrawal Plan, redemption proceeds as specified below, will be: **(Please select one)**

Mailed to the Address of Record or  Made by ACH deposit. (Please complete Section 6)

A Monthly amount of \$ \_\_\_\_\_ (\$250 Minimum) to be redeemed on the \_\_\_\_\_ day of the month.\*

(\*If a day selected falls on a weekend or holiday, your redemption will occur on the next business day).

### Wire Redemption (\$5,000 minimum) Please complete Section 6.

Unless the following is checked, I authorize and direct the Transfer Agent to send redemption proceeds by Federal Funds Wire.

I do not authorize redemption by Federal Funds Wire.

### Signature Guarantee

The Transfer Agent will need written instructions signed by all registered shareholders, with a signature guarantee for each shareholder, for the following: • Written requests to redeem \$100,000 or more • Changes to a shareholder's record name • Redemptions from an account for which the address or account registration has changed within the last 30 days • Sending redemption and distribution proceeds to any person, address or financial institution account, not on record • Sending redemption and distribution proceeds to an account with a different registration (name or ownership) from your account • Adding or changing ACH or wire instructions, telephone redemption or exchange options, or any other election in connection with your account. **The Transfer Agent reserves the right to require signature guarantees on all redemptions.**

## 11. ACCEPTANCE AND SIGNATURE (You must sign to establish an IRA)

The Depositor acknowledges having read and agrees to be bound by the terms, as may be amended from time to time, of the IRA Disclosure Statement and the relevant Fund Prospectus. Under penalties of perjury, the Depositor certifies that the Social Security Number on this form is true, correct and complete and that I am a U.S. person (including a U.S. resident alien). I understand that the Custodian will deduct from my account or collect separately an Annual Custodial Fee of \$15 for each account.

### By my signature below, I certify that:

(1) I am not involved in any money laundering schemes and the source of this investment is not derived from any unlawful activity; and

(2) The information provided by me in this application is true and correct and any documents provided herewith are genuine.

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

If your legal name has changed in the past 12 months, please provide former name: \_\_\_\_\_

## 12. INITIAL INVESTMENT AND MAILING INSTRUCTIONS

(1) If making your initial IRA investment by check, complete this IRA Account Application form and mail it with your check, payable to “**Auxier Focus Fund**”

to:

**Auxier Focus Fund  
Attn: Transfer Agent  
Atlantic Fund Administration, LLC  
P.O. Box 588  
Portland, ME 04112**

or for Overnight Delivery to:

**Auxier Focus Fund  
Attn: Transfer Agent  
Atlantic Fund Administration, LLC  
Three Canal Plaza, Ground Floor  
Portland, ME 04101**

(2) If making your initial IRA investment by bank wire or having your qualified retirement plan wire funds (for rollovers and transfers), call the Transfer Agent, Atlantic Fund Administration, LLC, at (877) 328-9437. We will ask you to fax the completed application and we will assign you an account number.

**The Fund will mail each Depositor a statement confirming the establishment of their IRA.**

**If you have questions, please call (877) 328-9437 (toll-free)**